### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 - FIRST CLASS MAIL

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	Tansa	Pache
Bv:	Tanya Parker	

(Type Name) Patent Application

Attorney's Docket No.: SUN-P4061-JTF

RECEIVED APR 21 2000

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William H. Connor

Application No.: 09/489,464

Filed: January 21, 2000

For: METHOD AND APPARATUS FOR CON-CURRENCY CONTROL IN A POLICY-BASED MANAGEMENT SYSTEM Group Art Unit: 2768

(Signature)

Examiner: Unassigned

### REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt marked in red to identify the corrections needed. The corrections are as follows:

The inventor's name should read: William H. Connor

[X] No fee is due with this communication.

[] The statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: March 16, 2000

A. Richard Park

Registration No. 41,241

PARK & VAUGHAN LLP 508 Second Street, Suite 201 Davis, CA 95616

# FILING RECEIPT \*OC000000004985418\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,464  PARK & VAUGHAN 508 SECOND STREET SUITE 201 DAVIS, CA 95616	MAR	2768  P E C 27	798	SUN-P4061-J7	. D	EC 2700 MAIL REOM	RECEIVED

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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William H. Connyor, Boulder, CO;

**Continuing Data as Claimed by Applicant** 

THIS APPLICATION IS A PRO OF 60/165,552 11/15/1999

Foreign Applications

Foreign filing license granted on 03/08/2000

Title -

Method and apparatus for concurrency control in a policy-based management system

**Preliminary Class** 

705

Data entry by: DILLON, LAWANDA

Team: OIPE

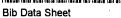
Date: 03/08/2000

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#### UNITED STATES DEPARTMENT OF **COMMERCE**

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBEI 09/489,464	RULE _	<b>CLASS</b> 705	GROUP ART 2768	UNIT [	ATTORNEY DOCKET NO. SUN-P4061-JTF			
APPLICANTS William H. Co	onnor, Boulder, CO ; 🔨	,			TC 27			
** CONTINUING DATA **********************************								
** FOREIGN APPLI IF REQUIRED, FOR GRANTED ** 03/08	REIGN FILING LICENSE	None mil	*		ROOM			
Foreign Priority claimed 35 USC 119 (a-d) conditi met Verified and Acknowledged	Manual Manual	state or country	SHEETS DRAWING 4	TOTAL CLAIMS 26	INDEPENDENT CLAIMS 3			
ADDRESS 22835								
TITLE								
Method and appara	tus for concurrency contro	ol in a policy-based ma	nagement syste	em				
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Peterosta	·		<u> </u>	1.16 Fees (Filing)				
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798 No.	for following	:	1.18	1.18 Fees (Issue)				
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